

## COLON CANCER

## Efficacy of high magnification chromoscopic colonoscopy for the diagnosis of neoplasia in flat and depressed lesions of the colorectum: a prospective analysis

D P Hurlstone, S S Cross, I Adam, A J Shorthouse, S Brown, D S Sanders, A J Lobo

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See end of article for authors' affiliations

Correspondence to:  
Dr D P Hurlstone, 17  
Alexandra Gardens,  
Lyndhurst Rd, Nether  
Edge, Sheffield S11 9DQ,  
UK; p.hurlstone@  
shef.ac.uk

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**Background:** High magnification chromoscopic colonoscopy (HMCC) permits the *in vivo* examination of the colorectal pit pattern, which has a high correlation with stereomicroscopic appearances of resected specimens. This new technology may provide an "optical biopsy" which can be used to aid diagnostic precision and guide therapeutic strategies. Conflicting data exist concerning the accuracy of this technique when discriminating neoplastic from non-neoplastic lesions, particularly when flat and depressed.

**Aim:** To prospectively examine the efficacy of HMCC for the diagnosis of neoplasia in flat and depressed colorectal lesions using standardised morphological, pit pattern, and histopathological criteria. Clinical recommendations for the use of HMCC are made.

**Methods:** Total colonoscopy was performed on 1850 patients by a single endoscopist from January 2001 to July 2003 using the C240Z magnifying colonoscope. Identified lesions were classed according to the Japanese Research Society guidelines, and pit pattern according to Kudos modified criteria. Pit pattern appearances were then compared with histopathology.

**Results:** A total of 1008 flat lesions were identified. The sensitivity and specificity of HMCC in distinguishing non-neoplastic from neoplastic lesions were 98% and 92%, respectively. However, when using HMCC to differentiate neoplastic/non-invasive from neoplastic/invasive lesions, sensitivity was poor (50%) with a specificity of 98%. Diagnostic accuracy was not influenced by size or morphological classification of lesions.

**Conclusion:** HMCC has a high overall accuracy at discriminating neoplastic from non-neoplastic lesions but is not 100% accurate. HMCC is a useful diagnostic tool *in vivo* but presently is not a replacement for histology. Requirements for further education and training in these techniques need to be addressed.

The secondary prevention of colorectal cancer (CRC) assumes that early detection and resection of precursor lesions will disrupt the adenoma-carcinoma sequence and halt progression to invasive neoplastic disease.<sup>1</sup> The adenoma-carcinoma sequence described by Morson has until now formed the rationale for endoscopic therapies directed at reducing the incidence of CRC.<sup>2</sup> The fact that snare polypectomy of exophytic lesions fails to prevent progression to carcinoma in up to 24% of lesions<sup>3</sup> has prompted many authors to re-evaluate the prevalence and clinicopathological significance of flat and depressed colorectal lesions in Western cohorts. Such lesions, although well described by the Japanese,<sup>4–5</sup> have only recently been reported in the West.<sup>6–9</sup> Controversy has existed regarding their prevalence, anatomical localisation, and histopathological characteristics. We recently reported in a large prospective study in the UK that flat lesions accounted for 38% of all lesions, where 82% of flat lesions with high grade dysplasia (HGD) and 90% of all flat/depressed carcinomas were located in the right colon.<sup>6</sup> These data supported similar trends reported in other series<sup>7–11</sup> and stresses the importance of detection and definitive endoscopic therapy, particularly given the imminent introduction of a nationwide CRC screening programme in the UK.

High magnification chromoscopic colonoscopy (HMCC) permits the *in vivo* examination of the colorectal surface crypt or pit pattern, which has a high correlation with stereomicroscopic appearances of resected specimens.<sup>12–13</sup> The premise of this technology is to provide an *in vivo* optical biopsy that can be used at the time of colonoscopy to enhance

diagnostic precision and guide subsequent therapeutic strategies.

Regarding exophytic or polypoid lesions, HMCC is not required, as there is an established and validated correlation between size and neoplastic risk, which is the major consideration when choosing endoscopic snare polypectomy or surgical resection.<sup>14–16</sup> However, flat and depressed colorectal lesions do not conform to this basic rationale, where therapeutic decisions are highly dependent on the detailed morphological appearance, including the pit pattern.<sup>4–17–18</sup> Indeed, some authors propose such lesions may favour a *de novo* pathogenic pathway where early submucosal invasion and risk of associated lymph node disease can occur.<sup>17–19–20</sup>

Conflicting data concerning the sensitivity, specificity, and overall accuracy of HMCC have additionally become apparent in the setting of routine clinical practice.<sup>13–21–22</sup> Variability in these data is multifactorial, being in part related to operator experience,<sup>21–22</sup> chromoscopic technique,<sup>23</sup> and East-West ambiguity in morphological and histopathological classification.<sup>24</sup> We therefore prospectively examined the efficacy of HMCC for the diagnosis of neoplasia in flat and depressed colorectal lesions using standardised morphological, pit pattern, and histopathological criteria.

**Abbreviations:** CRC, colorectal cancer; HMCC, high magnification chromoscopic colonoscopy; HGD, high grade dysplasia; LGD, low grade dysplasia; NSS, normal saline solution; IC, indigo carmine; CV, crystal violet; EMR, endoscopic mucosal resection; USMP, ultrasound mini probe

## METHODS

Total colonoscopy was performed prospectively on 1850 patients using the Olympus C240Z magnifying colonoscope, from January 2001 to July 2003, by a single endoscopist. Full ethics approval for the study was obtained from the South Sheffield Research Ethics Committee. Signed informed consent was obtained from all participating patients. The caecum was identified by the appendix orifice, tri-radiate caecal fold, ileocaecal valve, and terminal ileal or neoterminal-ileal intubation with small bowel biopsy in patients with a previous right hemicolectomy. Bowel preparation consisted of 2–4 litres of hypertonic polyethylene glycol solution (Kleanprep) 24 hours prior to the procedure.

### Inclusion/exclusion criteria

Patients were selected for inclusion to the study if they were considered “high risk” candidates for underlying colorectal polyps or neoplasia. Exclusion criteria were familial adenomatous polyposis, known advanced CRC, acute severe inflammatory bowel disease, none correctable coagulopathy, poor bowel preparation, and inability to give informed consent.

### Endoscopic mucosal evaluation

All lesions were initially identified using conventional video colonoscopy by direct visualisation or recognition of the following subtle mucosal signs<sup>25</sup>:

- focal pallor or erythema (fig 1A–C);
- haemorrhagic spots;
- fold convergence;
- disruption of the mucosal vascular net pattern (fig 2A–C);
- unevenness or discrete mucosal deformity;
- air induced deformation.

Following identification, all suspected lesions were washed with a 10–20 ml bolus of normal saline solution (NSS) introduced down the side port of the colonoscope. The lesion contour was then delineated using 0.5% indigo carmine (IC) solution (2–5 ml) flushed down the side port followed by a 20 ml air “push”.

### Morphological classification of lesions

The detailed non-magnified chromoscopic appearances of all lesions was documented using the established macroscopic classification of the Japanese Research Society of Cancer of the Colon and Rectum.<sup>26, 27</sup> Flat lesions were defined as those with no distinct stalk or pedicle with a flat or rounded surface

where the height of the lesion was less than half the diameter.<sup>28</sup> The size of each lesion was estimated using a fully opened standard biopsy forcep (4 mm) (Bard Inc., USA) with height estimated by placing the closed forcep (2 mm) adjacent to the lesion.

### High magnification examination and pit pattern classification

Following morphological classification using IC chromoscopy, all lesions underwent magnification examination (100× normal) by depression of the thumb activated lever adjacent to the up/down axis control of the C240Z colonoscope. The surface pit pattern was then classified according to the modified Kudo criteria (type I–V) (table 1).<sup>12</sup> In addition, when a discrete type I–IV pattern could not be identified or there was evidence of central depression (as evidenced by mucosal pooling of IC) (fig 3A–C), the lesion was further assessed using crystal violet (CV) chromoscopy.

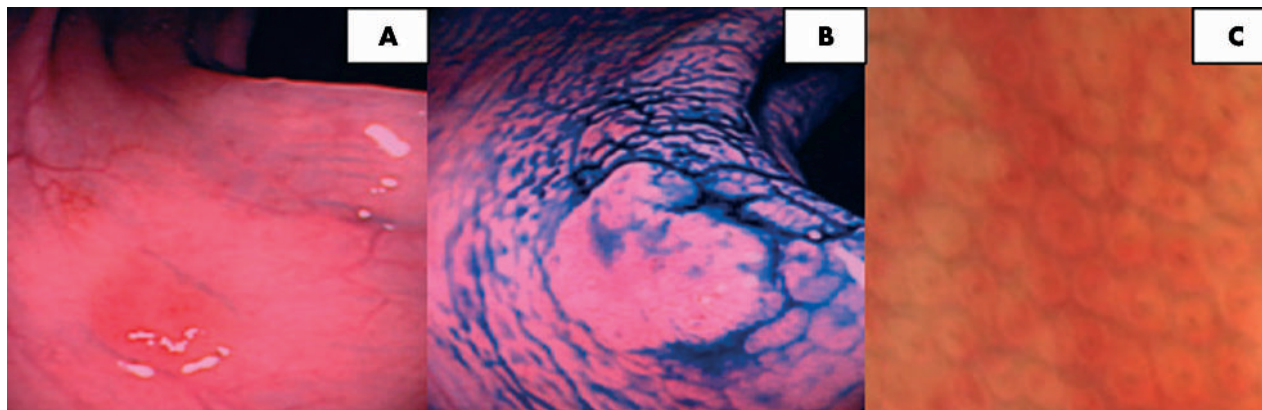
CV is an absorptive stain used to accurately differentiate the presence of the invasive type V pit pattern.<sup>25</sup> This is clinically important as this pattern, in association with central depression, can signify the presence of cancer with deep submucosal invasion.<sup>4, 12</sup> Prior to CV chromoscopy, the lesion was therefore subsequently washed again with NSS and any surface mucous removed by the local application of 2–4 ml N-acetylcystine (2 mg/ml). A non-traumatic steel tipped catheter (Olympus UK PW5V-1) was then used to apply small drops of 0.05% CV onto the surface of the lesion followed by a “fixing” phase of 1–2 minutes. High magnification views of the lesion were then acquired.

### Tissue sampling

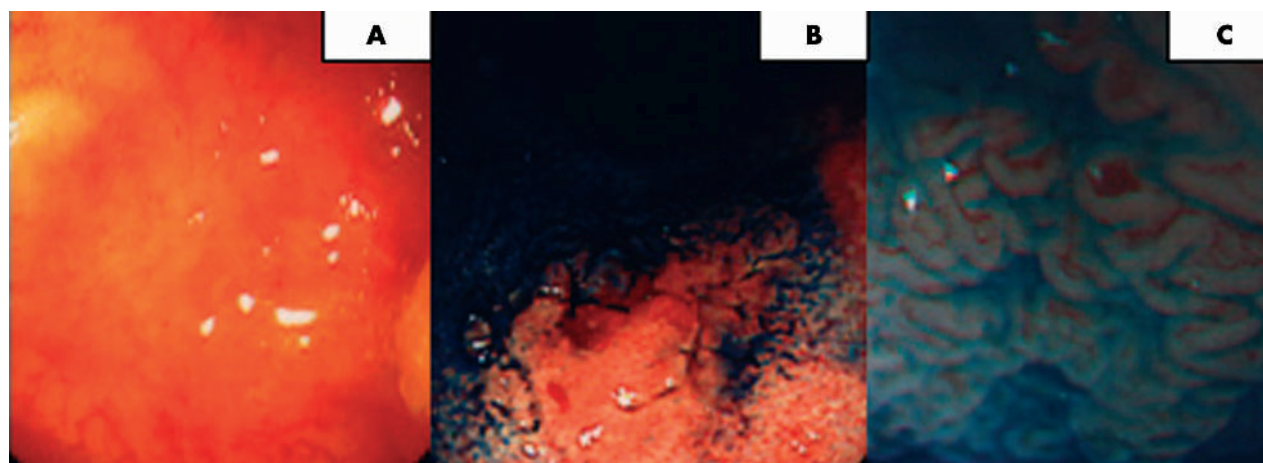
All identified lesions diagnosed using HMCC were biopsied, removed by endoscopic mucosal resection (EMR), or referred for surgical resection. The following criteria were used to guide the appropriate diagnostic or therapeutic technique and subsequent referral for surgical intervention.

### Biopsy only

- Lesions with a dominant pit type I/II (unless exceeding 10 mm in diameter or showing atypical morphology such as a depressed area). Such lesions are often associated with hyperplastic/metaplastic histology only.<sup>12</sup>
- Lesions demonstrating asymmetrical lift or the non-lifting sign of Uno (associated with submucosal desmoplasia where EMR can be complicated by perforation and bleeding).<sup>29</sup>



**Figure 1** (A) Focal erythema noted at the mid transverse colon (conventional views). (B) Indigo carmine chromoscopy clearly delineates the circumferential margin of the lesion. The lesion is flat with slight elevation (JRSC IIa). No depressed component is apparent. (C) High magnification chromoscopic colonoscopy (100× magnification) shows a type I pit pattern. The lesion was hyperplastic at histology.



**Figure 2** (A) Conventional views of the proximal ascending colon. The vascular net pattern is disrupted with central pallor and peripheral erythema. (B) Indigo carmine chromoscopy applied at the site of subtle mucosal abnormality in the distal ascending colon. A flat type IIb lesion is now well demarcated (non-magnified views). (C) High magnification chromoscopic colonoscopy views (100× magnification). A type IIIIL pit pattern is observed. The lesion was an F-type lateral spreading tumour or carpet lesion with low grade dysplasia adenomatous histology.

- Lesions spreading over two consecutive folds or occupying in excess of 33% of the luminal circumference.
- Lesions where anatomical position made endoscopic access for EMR impossible.
- Lesions showing an invasive pit pattern at CV chromoscopy (associated with neoplastic invasive disease).<sup>12</sup>

#### Therapeutic EMR

- Lesions with a dominant type IIIIL, IIIs, or type IV pit.
- None of the above criteria fulfilled.

Lesions that did not fulfil criteria for EMR and which were considered clinically “high risk” had an adjacent submucosal tattoo of Indian ink applied to facilitate further localisation if required.

#### Image capture

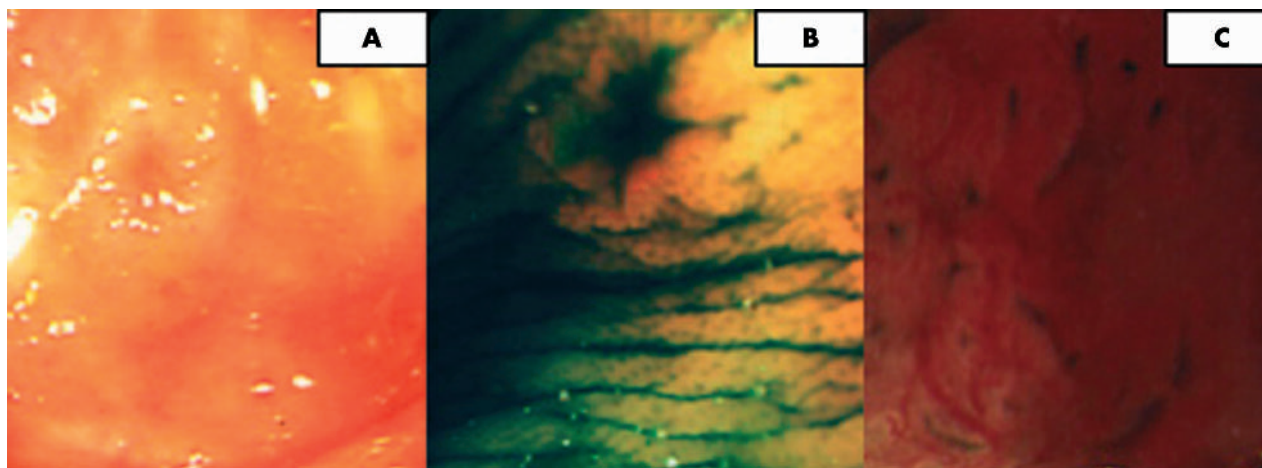
All procedures were digitally recorded and time analysed (intubation/extubation/therapeutics) using a Macintosh G4 interface to an analogue-digital PCX 540 transducer. Exporting software was I-Movie version 2.1 for Mac OS10 that allowed the entire recording to be written to non-rewritable Sony CDQ74N2 650 MB disks in Quick Time streaming mode. All images could therefore be reviewed following the procedure if required.

#### Histopathology

A single consultant histopathologist blinded to the morphological description or pit pattern analysis identified by the colonoscopist examined all retrieved tissue. Submucosal and carcinoid tumours were excluded from analysis as the predominant submucosal localisation of such lesions does

**Table 1** Modified Kudo criteria for the classification of colorectal pit patterns

Pit type	Characteristics	Appearance using HMCC	Pit size (mm)
I	Normal round pits		0.07 (0.02)
II	Stella or papillary		0.09 (0.02)
IIIs	Tubular round pits, smaller than pit type I		0.03 (0.01)
IIIIL	Tubular/large		0.22 (0.09)
IV	Sulcus/gyrus		0.93 (0.32)
V(a)	Irregular arrangement and sizes of IIIIL, IIIs, IV type pit		N/A



**Figure 3** (A) JRSC type IIa/c (flat elevation with central depression) seen using conventional views in the distal transverse colon. (B) Indigo carmine chromoscopy shows pooling of dye in the area of central depression. (C) Crystal violet chromoscopy at 100 $\times$  magnification. A type IIIc pattern is seen at the periphery of the lesion with an absent amorphous pit pattern centrally.

not disrupt the mucosal colonic pit pattern. Specimens were immediately fixed in 10% buffered formalin solution and examined using haematoxylin and eosin staining. The Vienna criteria were used to define dysplasia as either low grade (LGD) or high grade (HGD).<sup>30</sup> Within the HGD group was intramucosal carcinoma and carcinoma in situ. Neoplastic extension vertically into the submucosal layer 3 or beyond was classed as invasive advanced cancer (T2 disease).<sup>30</sup>

#### Statistical analysis

Statistical differences were analysed by  $\chi^2$  tests of independence, the Mann-Whitney U test, and Fisher's exact test. A p value of less than 0.05 was considered statistically significant. All calculations were made using the SPSS statistics package for Macintosh (system OsX 2.1-Microsoft Corp., USA).

## RESULTS

### Clinical data

A total of 1850 patients underwent colonoscopy. Males represented 833 (45%) of the cohort with a mean age of 63 years (range 16–92). Caecal intubation or insertion to the anastomosis in patients with a previous right hemicolectomy was possible in 1795 (97%) patients, with 1739 (94%) receiving confirmatory terminal-ileal intubation and biopsy. Mean diagnostic extubation time (excluding therapeutic procedures) was 24 minutes (range 14–54). All patients received a single 20 mg intravenous bolus of buscopan (20 mg) unless contraindicated at initial anal intubation. Sedation using intravenous midazolam was required in 795 (43%) cases (mean dose 2.5 mg (range 0.5–10)). One sigmoid perforation occurred post-EMR and two significant bleeds required transfusion. No complications required operative intervention and there were no deaths.

### Demographical data: flat and depressed lesions

A total of 1008 lesions were identified from 1624 (88%) patients. A single lesion was found in 840 (52%) cases with 314 (19%) and 56 (3%) patients having two or more than three lesions, respectively. A total of 381 lesions (38%) were hyperplastic/metaplastic and 616 (61%) adenomatous. Eleven adenocarcinomas were diagnosed (stage T2 or beyond), representing 1% of all lesions.

Three hundred and sixty two (95%) flat hyperplastic lesions (mean size 7.5 mm (range 1–16)) were located within the left colon and none had a depressed component at chromoscopy. In comparison, of the 616 adenomas (mean

size 8 mm (range 4–38)) and invasive carcinomas (mean size 21 mm (range 1–38)) detected, 455 (74%) and 9 (82%), respectively, were located in the right colon. All of the invasive carcinomas (T2 or beyond) had an area of depression noted at chromoscopy.

### Association of HMCC pit pattern analysis and histology

Table 2 shows the association of pit patterns obtained using HMCC compared with actual histopathological diagnosis. The percentages of neoplastic change in lesions demonstrating a Kudo type I, II, IIIc, IIIs, IV, and V(a/n) were 2%, 5%, 93%, 82%, 99.5%, and 98%, respectively. A total of 11 (3%) and 3 (1%) adenomas with LGD and HGD were classed as non-neoplastic at HMCC, showing a type I/II pit pattern. Villous adenomas with focal carcinoma limited to the submucosal layer 1 were found in 6 (3%) lesions with a type IV pit pattern. Eleven (100%) of the invasive carcinomas (T2 or beyond) showed an invasive pit pattern (IIIs/V) at HMCC.

### Clinical applicability and receiver operator characteristics

Table 3 shows the association between pit patterns and histopathology when subgrouped into neoplastic (IIIc, IV, IIIs, V(a/n) and non-neoplastic (I, II) classes. The sensitivity of HMCC and pit pattern analysis at distinguishing neoplastic from non-neoplastic lesions was 98%, with a positive predictive value of 95%. Specificity, negative predictive value, and overall accuracy were 92%, 96%, and 95% respectively.

Table 4 shows a subgroup analysis of pit patterns according to the neoplastic/non-invasive (IIIc/IV) and neoplastic/invasive (IIIs/V) types. When using HMCC to differentiate these two groups, the calculated sensitivity, specificity, positive predictive value, negative predictive value, and accuracy were 50%, 98%, 91%, 86%, and 87% respectively.

The diagnostic accuracy of HMCC in predicting neoplastic from non-neoplastic disease was not significantly influenced by size or morphological classification according to  $\chi^2$  testing. Although the diagnostic accuracy of lesions measuring more than 8 mm in diameter was slightly lower than for lesions <8 mm in diameter, this did not reach statistical significance ( $p > 0.5$ ).

## DISCUSSION

The first reported use of HMCC was in 1978 by Tada *et al* who demonstrated improved endoscopic definition of extent and

**Table 2** Association of pit patterns using high magnification chromoscopic colonoscopy compared with histopathology

Histology	Pit pattern						Total
	I	II	III <sub>L</sub>	III <sub>S</sub>	IV	V(a/n)	
Hyperplastic/metaplastic	126	223	24	6	1	1	381 (38%)
Adenoma (LGD)	2	9	296	5	169	2	483 (48%)
Adenoma (HGD)	0	3	11	21	60	38	133 (13%)
Carcinoma (T2 or beyond)	0	0	0	1	0	10	11 (1%)
Total	128 (13%)	235 (23%)	331 (33%)	33 (3%)	230 (23%)	51 (5%)	1008 (100%)

HGD, high grade dysplasia; LGD, low grade dysplasia.

severity in inflammatory bowel disease.<sup>31</sup> Subsequently, Nishizawa showed that discrete and characteristic pit patterns were present in minute colorectal carcinomas, flat adenomas, and mucosal carcinoma using HMCC.<sup>32</sup> Kato *et al*'s retrospective review of 4445 patients undergoing HMCC examined 3438 lesions (classified according to the modified Kudo criteria) and compared them with either endoscopic or surgically resected specimens.<sup>13</sup> The diagnostic accuracies in this series for non-neoplastic lesions, adenomas, and invasive carcinomas were 75%, 94%, and 85%, respectively.<sup>13</sup> Despite the large number of lesions examined, histological translation to Western practice in this series was complicated by an adapted Vienna criteria classification, which in the cancer group included only cases with submucosal invasion and the large group designated adenomatous failed to differentiate the grade of dysplasia.<sup>13</sup> This fact has major clinical implications when adopting follow up surveillance strategies where the malignant potential of LGD is low.<sup>33</sup> This study confirmed previous reporting of morphology and pit pattern characteristics demonstrated by flat and depressed colorectal lesions.<sup>34 35</sup>

Konishi *et al* reported the first randomised trial comparing magnifying and non-magnifying chromoscopic colonoscopy to discriminate between neoplastic and non-neoplastic colorectal lesions.<sup>36</sup> The accuracy of magnifying colonoscopy in distinguishing neoplastic from non-neoplastic lesions (92%) was significantly higher than for non-magnifying colonoscopy (68%).<sup>36</sup> These data therefore showed magnifying colonoscopy to be superior to non-magnifying colonoscopy for distinguishing between non-neoplastic and non-neoplastic lesions, and hence provided the rationale for using HMCC in this study.

Two prospective studies have addressed the efficacy of HMCC at differentiating neoplastic from non-neoplastic colorectal lesions.<sup>21 22</sup> Tung *et al* assessed 175 polyps from 141 consecutive patients.<sup>21</sup> Although not described in the methodology, differentiation of the neoplastic type pit patterns (III<sub>S</sub>/V) can be enhanced by the use of CV staining post-mucolysis.<sup>25</sup> The use of CV in this study may have improved neoplastic sensitivity rates and aided diagnosis in the six neoplastic lesions that were misclassified. Our study used CV staining to aid diagnosis of the invasive crypt types which may have influenced the improved sensitivity (98%) and specificity (92%) when differentiating neoplastic from non-neoplastic lesions as compared with Tung<sup>21</sup> (93.8% and 64.6%, respectively). Furthermore, CV staining for diagnosis of the invasive pit patterns was used in Togashi *et al*'s series<sup>22</sup> of 923 lesions with a comparable sensitivity (92%) but improved specificity (73.3%) as compared with Tung's data.<sup>21</sup>

The lower overall specificity in both studies compared with our data may have also been influenced by endoscopic experience. Although not statistically significant, the specificity in Tung's analysis improved as the operator experience of pit patterns accumulated.<sup>21</sup> A similar trend was noted in Togashi's series where the authors concluded that experience of 200 lesions assessed using HMCC was required to overcome the learning curve.<sup>22</sup> The colonoscopist in our study had experience of over 800 lesions prior to commencing this study. Additionally, our data support the observation by Tung *et al* that diagnostic accuracy is not influenced by lesion size.<sup>21</sup>

No other prospective studies have addressed the ability of HMCC and pit pattern analysis to differentiate neoplastic non-invasive from neoplastic invasive lesions. In our series, despite a high specificity (98%), sensitivity was poor (50%).

**Table 3** Association of pit pattern and histopathology when subgrouped into non-neoplastic and neoplastic subgroups

Pit pattern	Hyperplastic/metaplastic	Adenoma (LGD)	Adenoma (HGD) or beyond	Total
Non-neoplastic I/II	349	11	3	363 (36%)
Neoplastic III <sub>L</sub> /III <sub>S</sub> /IV/V	32	472	141	645 (64%)
Total	381 (38%)	483 (48%)	144 (14%)	1008 (100%)

HGD, high grade dysplasia; LGD, low grade dysplasia.

**Table 4** Subgroup analysis of pit patterns according to neoplastic/non-invasive and neoplastic/invasive types

Pit pattern	Histology		Total
	Adenoma LGD	Adenoma HGD or beyond	
Neoplastic/non invasive III <sub>L</sub> /IV	465	71	536 (87%)
Neoplastic/invasive III <sub>S</sub> /V	7	70	77 (13%)
Total	472 (77%)	141 (23%)	613 (100%)

HGD, high grade dysplasia; LGD, low grade dysplasia.

Therefore, when applied to this clinical scenario, the probability that a lesion had HGD or beyond as compared with adenoma (LGD) was poorly predicted using HMCC and is a limitation of this technique.

Finally, in addition to detection and diagnosis of sporadic neoplastic lesions of the colorectum, chromoscopic colonoscopy and pit pattern analysis have recently been described for the detection of intraepithelial neoplasia, and extent and severity assessment in chronic ulcerative colitis.<sup>37</sup> In the randomised controlled trial of Kiesslich *et al.*, significantly more intraepithelial neoplastic lesions were detected using methylene blue chromoscopy as compared with conventional colonoscopy and serial quadrantic biopsies (32 *v* 10; *p* = 0.003).<sup>37</sup> In the chromoscopy group there was also a significantly better correlation between the endoscopic assessment of degree (*p* = 0.0002) and extent (89% *v* 52%; *p* < 0.0001) of colonic inflammation and histopathological findings as compared with conventional colonoscopy.<sup>37</sup> Furthermore, using the modified pit pattern classification, both the sensitivity and specificity for differentiating between non-neoplastic and neoplastic lesions was 93%.<sup>37</sup> These data further suggest that chromoscopic colonoscopy is a novel tool for the early detection of intraepithelial neoplasia and CRC in patients undergoing screening colonoscopy with ulcerative colitis.

## CLINICAL RECOMMENDATIONS

HMCC and pit pattern analysis is a useful tool when discriminating between neoplastic and non-neoplastic flat and depressed colorectal lesions. The decision to progress to therapeutic intervention using EMR can be guided using HMCC, avoiding inappropriate attempted endoscopic resection of lesions without a malignant potential or those which should be referred for surgical excision. We therefore recommend the following endoscopic strategies based on HMCC and pit pattern analysis.

- Small (<10 mm) flat lesions without a depressed component and showing a type I/II pit pattern can be left in situ without biopsy.
- Flat lesions (non-depressed) with a type III/IV pit pattern can be resected in a single step procedure. This combines histological diagnosis and treatment. A submucosal tattoo should be placed adjacent to the resection site to permit future localisation. Inclusion in a systematic surveillance programme of 3–5 year intervals should then commence.
- For lesions with a depressed component and a type III/IV pit pattern, either alone or in combination, should receive cold biopsy only, even if small, and adjacent mucosa tattooed for future localisation. Further evaluation of such lesions using a 20 MHz ultrasound mini probe (USMP) may be helpful in assessing the invasive depth of the lesion and also improve safety if elective EMR is performed (tethering to the underlying muscularis mucosa can be clearly seen using USMP, where the risk of perforation or incomplete resection is marked).<sup>38–39</sup> Further studies however are required.

In conclusion, this is the largest prospective study addressing the efficacy of HMCC and pit pattern analysis in differentiating neoplastic from non-neoplastic lesions of the colorectum. We have shown that the technique has a high overall accuracy in this clinical context. However, the technique is not 100% sensitive or specific, and although a useful diagnostic tool in vivo, is not a complete replacement for histopathology. It is important for this technology to be used and developed but also requirements for further education and colonoscopic training need to be addressed.<sup>40</sup> In experienced hands however HMCC represents a significant

advance in colonoscopic practice, which may improve diagnostic yield of significant lesions, lower the burden of insignificant biopsies interpreted by pathologists, and enhance therapeutic safety. All of the above are essential requisites with the introduction of a colorectal cancer screening programme in the UK where economic resources and manpower are scarce.

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## Authors' affiliations

**D P Hurlstone, D S Sanders, A J Lobo**, Gastroenterology and Liver Unit, Royal Hallamshire Hospital, Sheffield, UK

**S S Cross**, Academic Unit of Pathology, Section of Oncology and Pathology, Division of Genomic Medicine, University of Sheffield Medical School, Sheffield, UK

**I Adam, A J Shorhouse, S Brown**, Academic Department of Surgery, Royal Hallamshire Hospital, Sheffield, UK

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